

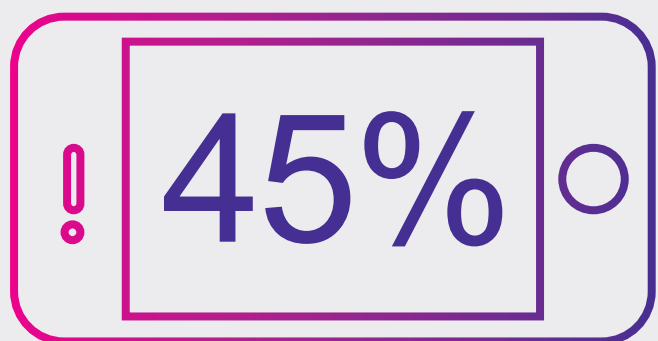
IPC Survey: 4 out of 5 Compliance Executives Cite Understanding New Regulations as a Top Issue

IPC asked attendees at the 2016 FINRA Annual Conference what the biggest compliance challenges are that they face and how their firms are managing them.

The most important compliance issue for your firm

80%

understanding new rules and regulations



said keeping pace with technological change is the most important technology issue they face when it comes to compliance



68%

of firms are making more investment in risk and compliance compared to previous years

36% much more

Turn compliance into a competitive advantage

90%

say their compliance strategy supports their business goals

While only **27%** utilize their compliance and risk strategy as a competitive advantage

‘very effectively’



Why IPC?

IPC’s communications and compliance expertise, global presence and innovative service offerings make us an industry leader in helping financial markets firms meet their regulatory requirements.

Flexibility for the Future

With access to IPC’s global compliance team you have access to the latest information on compliance issues and respond quickly to new compliance needs.

*Numbers are results from compliance roles only/results the same but smaller overall for all responses